

Ulster County Transportation Council

SFY 2013 Unified Planning Work Program



Approved March 22, 2013
For the Period April 1, 2013 – March 31, 2014

Prepared By:
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<http://www.co.ulster.ny.us/planning/tran.html>

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For the Period April 1, 2013 – March 31, 2014

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Ulster County Transportation Council

Voting Members

Michael Hein, County Executive
UCTC Chair

Shayne Gallo, Mayor
City of Kingston

James Quigley III, Supervisor
Town of Ulster

Kelly Myers, Supervisor
Town of Saugerties

William E. Murphy, Mayor
Village of Saugerties

Thomas Madison, Jr., Executive Director
NYS Thruway Authority

Jeff Kaplan, Mayor
Village of Ellenville

Jeanne Walsh, Supervisor
Town of Rosendale

Joe Katz, Supervisor
Town of Gardiner

Susan Zimet, Supervisor
Town of New Paltz

Paul Hansut, Supervisor
Town of Lloyd

Jeremy Wilber, Supervisor
Town of Woodstock

Joseph Croce, Supervisor
Town of Plattekill

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RESOLUTION 2013-01

ULSTER COUNTY TRANSPORTATION COUNCIL

ADOPT THE ULSTER COUNTY TRANSPORTATION COUNCIL'S SFY 2013 UNIFIED PLANNING WORK PROGRAM

WHEREAS, the Ulster County Transportation Council (UCTC) is designated by the Governor of the State of New York as the Metropolitan Planning Organization (MPO) for the Kingston Urbanized Area and a portion of the Poughkeepsie-Newburgh Urbanized Area. The UCTC, in cooperation with State and Federal transportation planning partners, as well as adjacent MPOs, is responsible for the comprehensive, continuing, and cooperative transportation planning process for Ulster County; and

WHEREAS, the development of a Unified Planning Work Program (UPWP) in conformance with Federal policy helps consolidate and coordinate the transportation planning activities conducted by member agencies by providing a mutually agreed upon document which summarizes all transportation planning activities to be performed in Ulster County; and

WHEREAS, federal surface transportation programs are the responsibility of the UCTC and authorized by the Moving Ahead for Progress in the 21st Century Act (MAP-21), and

WHEREAS, a portion of Ulster County is within the federally designated Poughkeepsie-Newburgh Transportation Management Area, also known as the Mid-Hudson Valley, New York, Transportation Management Area (TMA), and shares regional transportation planning and programming responsibilities with Dutchess and Orange Counties including the coordination of a congestion management process (CMP); and

WHEREAS, in recognition of CMP responsibilities associated with the TMA, UCTC staff has included specific work program tasks within the UPWP to address adopted CMP program strategies; and

WHEREAS, the New York State Department of Transportation has agreed to apply for necessary program funding under the Federal Transit Administration Section 5303 program, the Federal Transit Administration Section 5307 (formerly Section 9) program, and the Federal Highway Administration (PL) transportation planning program in amounts consistent with this approved UPWP; and

WHEREAS, the Ulster County Transportation Council hereby certifies that the planning process complies with all applicable Federal laws and regulations including applicable requirements of 23 U.S.C. 134, Section 8 of the Urban Mass Transit Act (49 U.S.C. 1607), Sections 174 and 176(c) and (d) of the Clean Air Act (42 U.S.C. 7504, 7506(c) and (d)); now, therefore be it:



RESOLUTION 2013-01

ULSTER COUNTY TRANSPORTATION COUNCIL

**ADOPT THE ULSTER COUNTY TRANSPORTATION COUNCIL'S SFY 2013 UNIFIED
PLANNING WORK PROGRAM**

RESOLVED, the attached SFY 2013 UPWP is hereby adopted by the Ulster County Transportation Council; be it further

RESOLVED, that the Policy Committee hereby authorizes and directs the MPO Director to execute and administer the SFY 2013 Unified Planning Work Program in accordance with MPO Operating Procedures and Federal and State requirements.

CERTIFICATE, the undersigned, duly qualified and acting Secretary of the Ulster County Transportation Council, certifies that the foregoing is a true and correct copy of a resolution adopted by a vote on March 22, 2013.

MARCH 25, 2013

Date

By


William J. Gorton, P.E., Acting Secretary,
Ulster County Transportation Council



UCTC 2013 UNIFIED PLANNING WORK PROGRAM

INTRODUCTION

The Ulster County Transportation Council (UCTC) is the designated Metropolitan Planning Organization (MPO) for the Kingston Urbanized Area and a portion of the Poughkeepsie-Newburgh Urbanized Area. UCTC is designated to carry out a continuing, cooperative and comprehensive multimodal transportation planning process. The UCTC office is hosted by the County of Ulster within the Planning Department under a formal agreement with the State of New York.

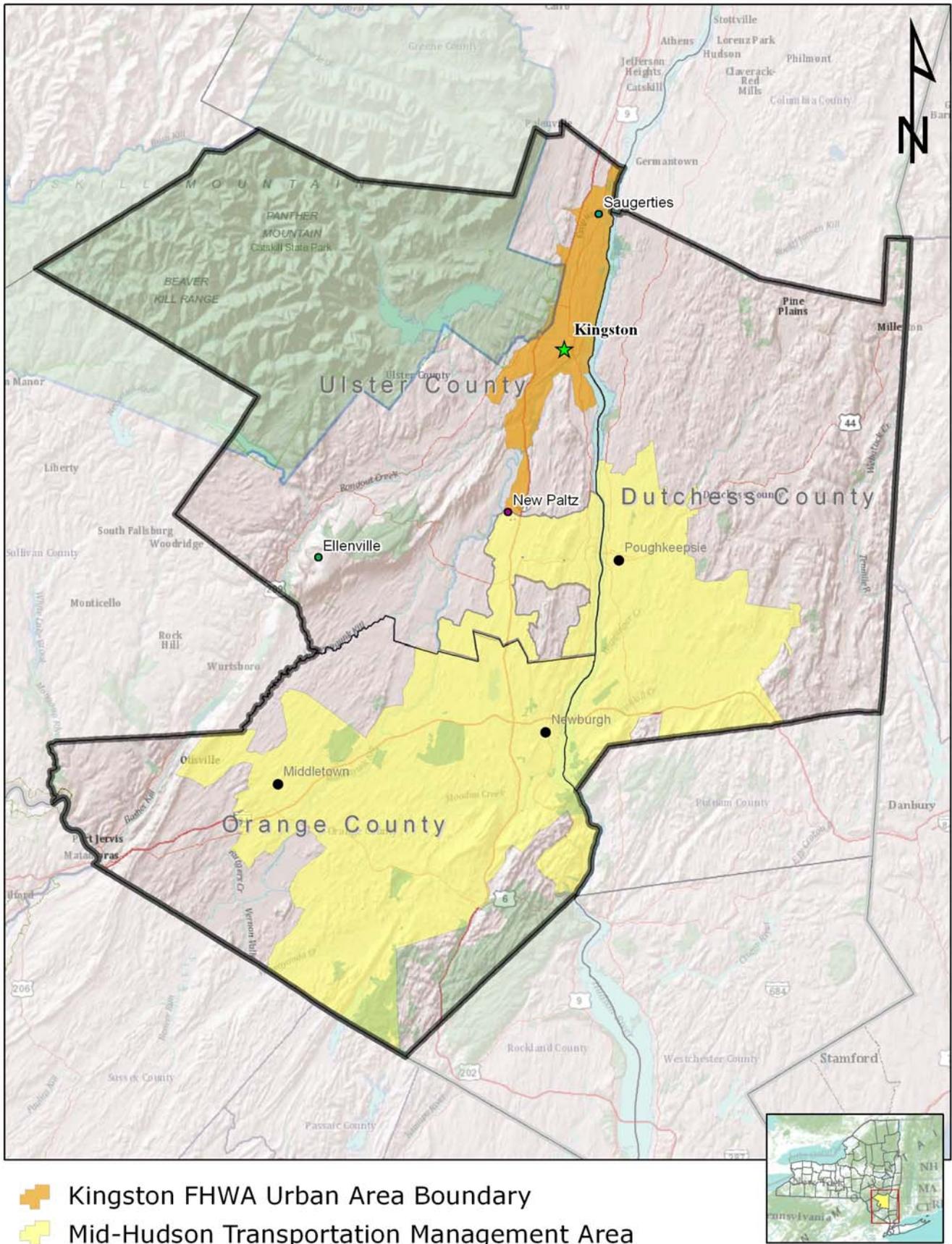
Metropolitan Planning Organizations (MPOs) are designated for each urbanized area having a population of more than 50,000 by agreement between the Governor and local governments. As a result of Census 2000, it was determined that the greater Kingston area had achieved "metropolitan" status. On June 4, 2003, the Governor of the State of New York together with the County of Ulster and its municipalities formally designated the *Ulster County Transportation Council (UCTC)* as the MPO for the Kingston Urbanized Area. In 2004, the UCTC agreed to expand its Metropolitan Planning Area (MPA) boundary to all of Ulster County. In 2013, UCTC will review and adjust its existing urbanized area and Metropolitan Planning Area boundaries based on results from new population figures associated with Census 2010.

UCTC is responsible for the development of a Metropolitan Transportation Plan (MTP) and a Transportation Improvement Program (TIP) that encourages and promotes the safe and efficient development, management, and operation of surface transportation systems to serve the mobility needs of people and freight in the county and the region. In addition, UCTC is responsible for the development of a **Unified Planning Work Program** that includes a discussion of the planning priorities facing the Metropolitan Planning Area. The intent of such a work plan is to coordinate all transportation-related planning activities in Ulster County.

FEDERAL REQUIREMENTS

Moving Ahead for Progress in the 21st Century (MAP-21) is the most recent law amending and establishing Federal transportation policy and funding authorizations. The latest Metropolitan Planning Rule states that metropolitan transportation planning activities performed with funds provided under title 23 U.S.C. and title 49 U.S.C. Chapter 53 shall be documented in a Unified Planning Work Program (UPWP). A UPWP is a statement of work that identifies the planning priorities and activities to be carried out within the specific boundary of a designated Metropolitan Planning Area (the UCTC MPA encompasses the entire county; refer to map on following page). The UPWP shall identify work proposed for the next one- or two-year period by major activity and task, in sufficient detail to indicate:

Figure 1: Mid-Hudson Valley Transportation Management Area (TMA)



- Who will perform the work (e.g., MPO, State, public transportation operator, local government, or consultant);
- Schedule for completing the work;
- Resulting products;
- Proposed funding by activity/task; and
- A summary of the total amounts and sources of Federal and matching funds.¹

The UPWP must also support the goals, objectives and recommendations of UCTC’s Year 2035 Metropolitan Transportation Plan.

In addition to the Kingston Urbanized Area, Ulster County is part of a larger urbanized area known as the Poughkeepsie-Newburgh Urbanized Area/Mid-Hudson Valley, New York, Transportation Management Area or TMA (see Figure 1, page 2). A TMA is defined in Titles 23 and 49 U.S. Code as urbanized areas over 200,000 in population. Portions of Dutchess, Orange, and Ulster Counties form the Mid-Hudson Valley TMA. As a result, the three MPO’s receive additional Federal Highway Administration and Federal Transit Administration resources and are responsible for coordinating a Congestion Management Process (CMP) and integrating CMP strategies into the long range transportation plan. Specific short- and long-range CMP strategies were adopted in 2005 by the TMA and continued work on the CMP is included in this UPWP.

UCTC MEMBERSHIP

UCTC policy and decision making authority rests with its voting members at Policy Committee meetings. The Policy Committee is comprised of chief elected officials from urbanized and non-urbanized areas throughout Ulster County along with the New York State Department of Transportation and the New York State Thruway Authority. The Ulster County Executive serves as Chair of the Policy Committee. The Policy Committee is supported by non-voting Advisory and Technical Committees comprised of municipal and transportation agency officials. In addition to permanent voting members, the UCTC voting structure includes alternating two-year voter membership. Less urbanized municipalities are paired together based upon geographic location and municipal population, and alternate every two years on June 4. In addition to permanent and two-year alternating voter members, the UCTC Operating Procedures identify seven (7) rural municipalities to collectively serve as one (1) rural voting member (also known as the “7 as 1” rural voter arrangement). In accordance with UCTC Operating Procedures, the Ulster County Association of Town Supervisors or UCATS appoints one Supervisor to represent the seven municipalities. Term limits for the individual serving in “7 as 1” rural voting seat is determined by UCATS.

¹ Title 23: Highways, Part 450 – Planning Assistance and Standards, Subpart C – Metropolitan Transportation Planning and Programming.



The UCTC Policy Committee is supported by a Technical Committee comprised of appointed municipal and transportation agency staff representing Ulster County municipalities and transportation agency interests. The Technical Committee serves as an advisory body to the Policy Committee. The Technical Committee monitors the operational aspects of the UCTC planning program for consistency with Federal, State, and local planning requirements, reviews technical and policy-oriented projects and programs, makes recommendations to the Policy Committee for consideration, and monitors the activities of staff.²

UCTC also has a joint cooperative transportation planning agreement with the public transit operators in its jurisdiction. In addition, UCTC is supported by Non-Voting Advisory Members to assist with the planning process and help guide the Technical and Policy Committees with decision-making and policy formulation.

The day-to-day activities of UCTC are supported by 2.5 FTE staff and NYSDOT Region 8 Office to ensure the overall planning program is executed in a timely and efficient manner and in accordance with Federal regulations. Ulster County is the host agency for all UCTC-related staffing and planning studies. Staff is housed within Ulster County Planning Department located in the Ulster County Office Building in Uptown Kingston. UCTC's budget is embedded within the Ulster County Planning Department's budget and managed by the Director of the Ulster County Planning Department. Staff, equipment, supplies, rent, consulting studies, and other expenses used to support UCTC staffing operations are 95% reimbursable to Ulster County. The New York Metropolitan Transportation Council (NYMTC) provides limited staff support to the Mid-Hudson Valley Transportation Management Area (TMA).

² See Ulster County Transportation Council Operating Procedures as approved June 4, 2003. Online at http://www.co.ulster.ny.us/planning/uctc/documents/mpo_op.pdf

UCTC MEMBERSHIP

PERMANENT VOTING MEMBERS

Ulster County Executive, Chair
 City of Kingston Mayor
 Town of Saugerties Supervisor
 Town of Ulster Supervisor
 NYS Thruway Authority Executive Director
 NYSDOT Commissioner, Secretary

TWO-YEAR VOTING MEMBERS

(Alternate biennially)

Village of Saugerties Mayor*
 Town of Hurley Supervisor
 Town of Rosendale Supervisor*
 Town of Esopus Supervisor
 Town of Lloyd Supervisor*
 Town of Marlborough Supervisor
 Town of Plattekill Supervisor*
 Town of Shawangunk Supervisor
 Village of Ellenville Mayor*
 Village of New Paltz Mayor
 Town of New Paltz Supervisor*
 Town of Wawarsing Supervisor
 Town of Woodstock Supervisor*
 Town of Kingston Supervisor*

**Current Voting Representative through June 4, 2013*

7 AS 1 RURAL VOTING MEMBERSHIP

(Appointed by Ulster County Association of Town Supervisors)

Town of Denning Supervisor
 Town of Gardiner Supervisor*
 Town of Hardenburgh Supervisor
 Town of Marletown Supervisor
 Town of Olive Supervisor
 Town of Rochester Supervisor
 Town of Shandaken Supervisor

**Current Voting Representative through June 4, 2013*

NON-VOTING ADVISORY MEMBERS

Federal Highway Administration
 Federal Transit Administration
 Federal Railroad Administration
 NYS Bridge Authority



2012-2013 PROGRAM ACCOMPLISHMENTS

The Ulster County Transportation Council (UCTC) continues to plan and implement programs and projects in support of the Year 2035 Long Range Plan's goals and recommendations. Major accomplishments during the past year include the following:

Program Support and Administration

- Convened regularly scheduled Technical and Policy Committee meetings;
- Maintained and updated UCTC's web site and developed project pages to keep the public apprised of specific transportation-related projects, funding opportunities, events and activities;
- Routinely processed GIS and other transportation-related data requests from local, state and Federal agencies as necessary;
- Provided support to local communities and respective committees regarding transportation planning and land use, emphasizing the coordination of land use and transportation planning in an effort to promote sustainable and more orderly development;
- Assisted the land use approval process managed by the Ulster County Planning Board through the review of 215 site plan and special permit referrals providing analysis and insight regarding impacts on the County transportation system;
- Prepared and submitted reports to NYSDOT, FHWA and FTA as required;
- In December 2012 appointed David Staas to the position of Transportation Planner filling the vacancy left by Sweta Basnet in September 2012;
- Professional Development – staff attendance at the 2012 NADO Rural Transportation Conference in Burlington, VT and the 2012 AMPO Annual Conference in Saratoga Springs, NY;
- Regular participation in New York State Association of Metropolitan Planning Organization's (NYSAMPO) teleconferences and in-person Director's meetings.

Transportation Improvement Program Administration

- Organized and convened TIP Subcommittee meetings for the 2014-2018 UCTC TIP Update;
- In consultation with the TIP Subcommittee, solicited input from all local project sponsors listed on the 2011-2015 UCTC TIP and completed a draft reconfiguration of the TIP to be in line with new FFY 2014 and 2015 Federal allocation figures;
- Assisted local project sponsors with identification of capital offsets to address cost overruns or shortfalls as needed;
- Prepared and processed 18 Administrative Modifications and/or Amendments to the UCTC 2011-2015 TIP through eSTIP;
- Facilitated multiple meetings between Ulster County communities and NYSDOT Local Projects Unit staff to evaluate the Beyond Preservation Justification for locally administered capital improvement projects and identify means by which those projects can be moved forward to implementation or completion;
- Reviewed and summarized the newest surface transportation authorization act to MPO members – Moving Ahead for Progress in the 21st Century (MAP-21).



Transit and TMA Activities

- Assisted UCAT with on-site transit planning and reporting tasks;
- Completed a Capital Cost of Contracting agreement for approximately \$1M in cooperation with ADK Trailways and Capital District Transportation Council;
- Completed the *Ulster County Transit Systems Coordination Development Plan*, a 16-month effort that identified a series of service improvement recommendations to be considered by the public and UCAT and Citibus officials;
- Collected and analyzed traffic count data for 87 locations throughout Ulster County;
- Worked closely with Ulster County transit operators and TMA staff to program FTA Section 5307 TMA funds;
- Participated in the review and recommendation of applications to the FHWA Transportation Enhancements fund for Safe Routes to School projects. Over \$700,000 was awarded for the City of Kingston and Village of Ellenville for various safety improvement projects near local schools;
- In cooperation with Orange County Transportation Council, solicited the FTA to request for the administrative transfer of FTA funds to the FHWA totaling \$6.609M in matched Section 5307 formula funds to design and build new or improved park and ride facilities in Orange and Ulster Counties;
- Assisted regional public transit/human service providers with FTA Section 5310 grant applications and Coordinated Public Transit-Human Services Transportation Plan certification.

UCTC UPWP Projects

- Initiated UCTC UPWP Project 44.21.02: Unified Planning Work Program (UPWP) Development and issued the 2013 UCTC UPWP Call for Projects, evaluating 5 responses from Ulster County communities;
- Initiated UCTC UPWP Project 44.22.01: Federal Functional Classification System Map Update to revise UCTC urban area boundaries to be in conformance with Census 2010 data;
- Initiated UCTC UPWP Project 44.22.04: Ulster County Greenway Compacts – hired consultant and began initial project tasks;
- Initiated UCTC UPWP Project 44.23.02-01: Study of the Need and Location for an Intermodal Facility to Serve the Greater New Paltz Area – formed a local Advisory Committee and issued a RFP for consultant services;
- Initiated UCTC UPWP Project 44.23.02-02 – Ulster County Safe Routes to School Demonstration Project – issued a call for proposals and awarded the Towns of New Paltz, Lloyd, and Shawangunk and Village of New Paltz with pledge to conduct Safe Routes to School Action Plans in each community;
- Initiated UCTC UPWP Project 44.23.02-04: Boices Lane Rail Safety Study (Town of Ulster) – completed inventory and mapping, established existing and future traffic conditions and completed initial analysis and assessment of alternatives for safety improvements at the intersection of Boices Lane and CSX railroad crossing;
- Initiated UCTC UPWP Project 44.23.02-05: Analysis of Priority Investigation Location (PIL) Data among Congested Roadway Segments in Ulster County – prepared preliminary data and project materials.

UCTC staff wishes to thank all of its project partners – consultants, municipal staff and private citizens – for their participation, assistance, and support of UCTC projects and initiatives during the 2012-2013 state fiscal year.



SFY 2013/14 FUNDING SUMMARY

Funding for operations of UCTC are provided through the New York State Department of Transportation under the Federal *Moving Ahead for Progress in the 21st Century Act* (MAP-21), the most recent law amending and establishing Federal transportation policy and funding authorizations. Any remaining funds previously allocated under the expired *Safe, Accountable, Flexible, Efficient Transportation Equity Act-A Legacy for Users (SAFETEA-LU)* will continue to be drawn down during SFY2013. New York State's anticipated allocation of the Federal planning (PL) funds for the 2013/14 year totals approximately \$31.6 million (\$34.3m, -8.5%)³ allocated on a formula basis to the 14 MPOs that exist within the State (a new MPO was established in 2012 for the newly-designated Watertown Urbanized Area in Jefferson County, NYS). This is comprised of \$24,231,822 FHWA PL and \$7,354,342 FTA Metropolitan Planning Program (MPP), representing an increase of \$2,379,327 FHWA PL and a decrease of \$924,878 FTA MPP from the 2012/13 allocations distributed last year. A portion of the overall state allocation is set aside for joint planning activities, referred to as "shared cost initiatives." This UPWP uses the FHWA and FTA allocations for FFY 2013/14 as recommended by NYSDOT. These amounts are subject to change based on Congressional action on transportation funding. UCTC would amend the 2013 UPWP to reflect any changes in the authorized funding levels.

FEDERAL AID

UCTC's 2013/14 UPWP Federal funding allocation is **\$445,005** (\$409,200, +8.1%). This includes FHWA funding of **\$390,805** (\$354,803, +9.2%) and FTA funding of **\$54,200** (\$54,397, -0.4%).

In addition to the annual allocation for the 2013/14 UPWP, UCTC has funds available from previous years. These funds represent previous savings that are available to the Council for programming as needed. UCTC will program **\$212,155** of these funds to support projects that require consultant assistance. Remaining estimated savings will be used to assist in projects identified in the future.

Federal Highway Administration (FHWA) Planning (PL) Program: Federal legislation stipulates that at least 1.25% of the Surface Transportation Program (STP) funds shall be used to support metropolitan transportation planning efforts (Title 23 USC, Section 104(1)). These funds, which are administered on a statewide basis by NYSDOT, require a 20% non-Federal match. For the period April 1, 2013 to March 31, 2014 a total of **\$390,805** in PL funds have been allocated to the Ulster County Transportation Council (see Table 1 page I).

Federal Transit Administration (FTA) Section 5303 Metropolitan Planning Program (MPP): MPP funds are apportioned to states by a formula that includes each state's urbanized area population in proportion to the total urbanized area population for the nation, as well as other factors. States can receive no less than 0.5 percent of the amount apportioned. These funds, in turn, are sub-allocated

³ 2012 allocation figures and %Δ from 2012 to 2013 are shown in parentheses.



by NYSDOT to MPOs by a formula that considers each MPO's urbanized area population, their individual planning needs, and a minimum distribution. For the period April 1, 2013 to March 31, 2014 a total of **\$54,200** in FTA Section 5303 funds are allocated to the Ulster County Transportation Council (see Table 1 page I). UCTC currently has a total of **\$87,215** available from FTA grants (see Table 2 page II).

MATCHING FUNDS

Matching funds are required for both the FHWA and FTA funding for UCTC in the amount of 20% of the total program. New York State Department of Transportation (NYSDOT) provides 15% of this share and Ulster County provides 5%. These non-Federal matching funds for PL, State Planning and Research (SPR), and Section 5303 are provided through in-kind service support for UCTC and are not available for programming as part of UCTC's contractual expenditures (see Table 3 page II).

OPERATING BUDGET

The operating budget for UCTC is embedded within the Ulster County Planning Department's budget and managed by the Director of the Ulster County Planning Department.

SFY 2013/14 WORK PROGRAM

The work program areas identified below are listed by FTA Task Code for SFY 2013/14.

PROGRAM AREA BY FTA TASK CODE

FTA Task Code	Program Area
44.21.00	PROGRAM SUPPORT AND ADMINISTRATION
44.22.00	GENERAL DEVELOPMENT AND COMPREHENSIVE PLANNING
44.23.00	LONG RANGE TRANSPORTATION PLANNING <ul style="list-style-type: none"> • 44.23.01 – SYSTEM LEVEL • 44.23.02 – PROJECT LEVEL
44.24.00	SHORT RANGE PLANNING
44.25.00	TRANSPORTATION IMPROVEMENT PROGRAM
44.26.00	PLANNING EMPHASIS AREAS
44.27.00	OTHER

The total task cost identified for each work item includes New York State and Ulster County funds as a local match. A summary budget for each FTA Task Code is provided in Table 4 (page III). The total UCTC program including all matching requirements is **\$821,450** for SFY 2013/14.



44.21.00: PROGRAM SUPPORT AND ADMINISTRATION

Program Support and Administration includes basic overhead, program support, and general administrative costs directly chargeable to the FHWA or FTA project, i.e., direct program support, administration, interagency coordination, citizen participation, public information, local assistance, Unified Planning Work Program (UPWP) development, etc.

44.21.01: Program Support and General Administration

This task includes all program management and organizational development activities, staffing committees, planning and programming meetings, communicating and coordinating with local, County, Federal and State agencies, and general day-to-day operations of UCTC. Also included are capital needs such as office equipment, computer and software purchases, expenses such as travel and overhead, and supplies such as paper and ink cartridges. Other program support and coordination activities under this category include: routine website development and maintenance; attendance at NYSAMPO Director's meetings; preparing MPO meeting agenda and minutes; and preparation for committee and subcommittee meetings.

Task Cost: \$87,000 (PL funds matched)

Deliverables: Committee and subcommittee meeting agendas, minutes, contract documents, and web updates

Schedule: April 2013 – March 2014

44.21.02: Unified Planning Work Program (UPWP) Development

The Unified Planning Work Program (UPWP) is a summary of transportation planning tasks proposed annually in support of the goals, objectives, and recommendations of UCTC's Year 2035 Long Range Transportation Plan. This task involves the assessment of work completed in the previous year, anticipated tasks and projects needing completion in the next SFY, a financial analysis of planning funds available, staffing and work load assessment, meeting with local, State and Federal officials to discuss cooperative working partnerships, and the preparation, printing and distribution of the draft and final UPWP document. Administration of UPWP amendments is also included.

Task Cost: \$6,000 (PL funds matched)

Deliverables: Draft and Final UPWP documents will be prepared and distributed for review and adoption

Schedule: October 2013 – March 2014



44.21.03: Periodic Reporting

Periodic reporting is comprised of developing progress reports and the preparation of payment reimbursement requests. Staff provides other required information to Ulster County, NYSDOT, FHWA, and FTA for periodic audits of Federally funded programs and grants.

Task Cost: \$6,000 (PL funds matched)
Deliverables: Quarterly finance reports, semiannual DBE reports and semiannual narrative progress reports will be prepared and circulated periodically
Schedule: April 2013 – March 2014

44.21.04: Public Participation Activities

The UCTC continues to implement and evaluate public involvement strategies throughout the year. This task includes activities such as the implementation of meetings and other events intended to engage and involve the public, web site development and maintenance, advertisement of public meetings in the local newspapers and on cable access television, and the development of press releases.

Task Cost: \$4,200 (PL funds matched)
Deliverables: Web site updates, press releases, public advertisements for upcoming UCTC activities will be performed periodically
Schedule: April 2013 – March 2014

44.21.05: Transportation Management Area

UCTC staff will participate in TMA-related planning activities and meetings to ensure that the TMA will be able to meet its Federal requirements. This task includes regular meetings with OCTC and PDCTC representing Orange and Dutchess counties as well as other regional transportation planning activities. These efforts are focused on allocating FTA Section 5307, 5310, and 5311 funding for transit agencies, implementing the Congestion Management Process and coordination on regional studies. The Task also will include continued coordination of transit operations among the commuter and public carriers in the region toward the formulation of a regional transit strategy for the TMA.

Task Cost: \$15,000 (PL funds matched)
Deliverables: TMA quarterly meetings, Council action on transit funding, and TMA planning activities
Schedule: April 2013 – March 2014

44.21.06: Professional Development

Professional development and periodic training helps to improve the performance of staff. Professional development opportunities periodically emerge throughout the year and UCTC



benefits when staff works to enhance knowledge and skills needed to do the work of the UCTC effectively. Types of training opportunities staff may attend in the coming year include traffic count workshops, transportation planning seminars, statewide MPO staff meetings, statewide and national planning conferences, transportation modeling workshops, and other specialized training opportunities.

Task Cost: \$4,000 (PL funds matched)

Deliverables: Staff attendance at one or more of the following annual conferences hosted by: New York State Department of Transportation (NYSDOT); the Association of Metropolitan Planning Organizations (AMPO); the National Association of Development Organizations (NADO); the New York State MPO association; regional and national American Planning Association; and other regional transportation-related workshops. Also includes subscription dues to the American Planning Association and the Transportation Professional Certification Board.

Schedule: April 2013 – March 2014



44.22.00: GENERAL DEVELOPMENT AND COMPREHENSIVE PLANNING

General Development and Comprehensive Planning includes the costs of activities specifically emphasizing regional policy and system planning for non-transportation functional areas, including the development and maintenance of related data collection and analysis systems, demographic analysis and non-transportation modeling, and forecasting activity, e.g., land use, housing, human services, environmental and natural resources, recreation and open space, public facilities and utilities, etc.

44.22.01: Ensuring Compliance and Conformity with Federal and State Transportation Rules and Regulations

The purpose of this task is to continually review requirements of the MPO with regard to maintaining compliance with all applicable Federal and State transportation rules and regulations, including performance-based planning targets and updated UCTC Operating Procedures. In doing so, staff will continue to review the 2012 Federal surface transportation authorization act – Moving Ahead for Progress in the 21st Century (MAP-21). Staff will also continue to work closely with local governments, NYSDOT and the FHWA to review and update the Federal Aid Urban Area boundaries, Metropolitan Planning Area boundaries, and the Ulster County Federal functional classification system map. The urbanized area designations based on the 2010 Census are available and UCTC staff will coordinate with NYSDOT Statewide Planning Bureau to conduct revisions to Federal-Aid urban area boundaries, which in turn are used to update functional classification of roadways throughout the county. This task involves GIS data collection and manipulation, communication between agency staff and locally-affected municipalities, and the analysis of roadway classifications to ensure eligible rural and urban roads are appropriately designated for Federal aid.

Task Cost: \$6,000 (PL funds matched)
Deliverables: Amended functional classification system map and associated data
Schedule: April 2013 – March 2014

44.22.02: Census Data Analysis and Forecasting

Staff will continue to monitor and analyze U.S. Census Bureau news and data releases and other relevant data warehouses including the NYS Department of Labor in support of UCTC-related planning initiatives. Census data will be needed when updating the UCTC Transportation Model (TransCAD).

Task Cost: \$1,000 (PL funds matched)
Deliverables: Perform Census data analysis for new demographic data from the 2010 Census and American Community Survey and interact with NYS Department of Labor on employment information (BLAT data)
Schedule: April 2013 – March 2014



44.22.03: Traffic Monitoring Program – Part Consultant Study

Staff will continue to work with Ulster County Department of Public Works, NYSDOT, and local municipalities to implement UCTC's Traffic Monitoring Program (TMP). The TMP is an important component of the overall transportation planning process. Traffic count data is used to help calibrate the Ulster County travel demand forecasting software tool (TransCAD model) and also helps to support short- and long-range Congestion Management Process (CMP) goals and objectives. An annual TMP report will be produced, distributed and made available on the UCTC web site.

Task Cost: \$27,000 (PL funds matched)

Deliverables: A traffic count report will be prepared and circulated

Schedule: April 2013 – February 2014

44.22.04: Ulster County Greenway Compacts – Part Consultant Study

The Ulster County Greenway Compact looks to establish priority growth and priority conservation areas. These areas would serve as tools for encouraging development and managing growth. Work will focus on creating policies and recommendations for these areas by integrating elements of the County's comprehensive plan, including its long-range transportation element, and municipal comprehensive plans. Work will continue on refining earlier mapping done with municipalities.

Task Cost: \$52,775 (PL funds matched)

Deliverables: Mapping and area analysis, synthesis of existing local information and plans, identification of priority conservation areas, integration of priority conservation areas with existing local planning elements

Schedule: April 2013 – March 2014



44.23.00: LONG RANGE TRANSPORTATION PLANNING

44.23.01: LONG RANGE TRANSPORTATION PLANNING – SYSTEM LEVEL

System Level Long Range Planning includes the costs of activities specifically emphasizing long range transportation system planning and analysis, e.g., long range travel forecasting and modeling including appropriate data base development and maintenance for transportation in the entire metropolitan area or State, system analysis, sketch planning, system plan development, reappraisal or revision, and all long-range, Transportation System Management (TSM) activities.

44.23.01-01: Support Energy Planning - NYS Climate Action Plan

The NYS Climate Action Plan includes many activities that are related to the transportation system. In addition, proposed changes to the NYSDEC SEQRA forms if implemented will require separate information related to green house gas emissions. UCTC will play a role in these issues particularly as they relate to its transportation modeling efforts and impacts associated with major projects.

Task Cost: \$6,000 (PL funds matched)

Deliverables: Participation in the NYSDEC Climate Action network, meetings with project sponsors, coordination with transit agencies on capital equipment purchases

Schedule: April 2013 – March 2014

44.23.01-02: Participate and Support the Congestion Management Process (CMP)

UCTC, in conjunction with OCTC and PDCTC, has adopted the Congestion Management Process for the Mid-Hudson Valley TMA. The CMP establishes a process to locate, measure, and manage recurring congestion in the TMA. In addition, UCTC has applied the process to areas outside the TMA boundaries. This task includes coordination within the TMA and work on congestion that was located by the completed travel time survey in other portions of the county.

Task Cost: \$5,200 (PL funds matched)

Deliverables: Review of existing studies and development of an action agenda for the results of the travel time survey outside the TMA and update of the CMP as needed

Schedule: April 2013 – March 2014

44.23.01-03: Support Statewide Planning and Research (SPR) Activities

SPR activities are planning activities that satisfy a specific NYSDOT planning responsibility to the FHWA or are of a statewide benefit. These include traffic count data, travel surveys, transit studies, and infrastructure studies.



Task Cost: \$1,600 (PL funds matched)
Deliverables: Attendance at meetings and review and comment on documents
Schedule: April 2013 – March 2014

44.23.02: LONG RANGE TRANSPORTATION PLANNING – PROJECT LEVEL

Project Level Long Range Planning includes the costs of activities specifically emphasizing long range project level planning and analysis, e.g., corridor and subarea studies, cost effectiveness studies, feasibility and location studies, and the preparation of related draft environmental impact studies.

44.23.02-01: Initiate a Safe Routes to School (SRTS) Demonstration Project – Part Consultant Study

UCTC will initiate a SRTS demonstration project that will work with three (3) local school districts to provide both an analysis of any significant gaps and safety issues on routes to critical schools in the district. In addition, UCTC will support existing SRTS programs within the County to extend educational programs to schools currently not involved in such programs. Ensuring district participation in the SRTS program will be a key goal of this effort.

Task Cost: \$67,000 (PL funds matched)
Deliverables: Mapping and analysis of significant gaps, templates of educational programs for future use, participation by educators and children in the program
Schedule: April 2013 – March 2014

44.23.02-02: Community Transportation Planning Assistance

This task is designed to ensure that communities understand the fundamental link between transportation and land use. The task will provide planning and design assistance as well as educational training for communities in developing their comprehensive plans and in establishing design parameters for major projects in an effort to ensure pedestrian, bicycle, vehicle and transit-friendly outcomes. UCTC will provide support to local committees in an effort to build local capacity.

Task Cost: \$19,500 (PL funds matched)
Deliverables: Planning guide memorandum related to transportation and community development, attendance at local meetings and educational seminars on transportation planning
Schedule: April 2013 – March 2014



44.23.02-03: Boices Lane Rail Safety Study

The purpose of the study is to assess the traffic operations and safety at the existing railroad crossing on Boices Lane in the Town of Ulster, including the adjacent intersections with Morton Boulevard and John Clark Drive, and to evaluate alternatives to reduce congestion and address safety issues identified as part of the study. The goal of this effort is to build from previous work conducted on the nearby 9W corridor. The study will specifically evaluate alternatives to allow certain non-conflicting turning movements to occur during the rail pre-emption signal phase.

Task Cost: \$9,125 (PL funds matched)
Deliverables: Report outlining intersection safety and back-up mitigation recommendations
Schedule: April 2013 – December 2013

44.23.02-04: Analysis of Priority Investigation Location (PIL) Data among Congested Roadway Segments in Ulster County – Part Consultant Study

The number of highway fatalities experienced annually is a basic quality of life indicator for communities and regions. Under NYSDOT's overall Highway Safety Improvement Program, the Department proactively identifies and treats high-accident locations, called Priority Investigation Locations (PILs), with proven engineering safety countermeasures. According to NYSDOT, PIL locations comprise approximately 5 percent of State highway mileage, but account for one-third of all accidents occurring on those highways.

In a continual effort to reduce traffic fatalities in Ulster County and improve overall traffic safety, this study will seek to build upon existing NYSDOT PIL and other similar data sources. PIL data will be combined with data from UCTC's Congestion Management Process and related/subsequent Travel Time Survey to identify and measure correlations between highly-congested areas and frequent accident occurrence. Where such correlations are identified, recommendations may include simple non-capital work, such as site-specific traffic control and/or maintenance improvements, to capital safety projects and/or safety enhancements to other capital projects.

Task Cost: \$66,000 (PL funds matched)
Deliverables: Report outlining correlations between PILs and congested road segments and recommended actions
Schedule: April 2013 – March 2014



44.23.02-05: Kingston Broadway Corridor Conceptual Design Project – Part Consultant Study

The purpose of the Kingston Broadway Corridor Conceptual Design Project is to develop feasible transportation planning and design concepts that will improve circulation, accessibility, and parking for pedestrians, bicyclists, and motorists. This project will focus on the City of Kingston Broadway Corridor from the I587 Gateway to City Hall. Incorporating Complete Streets principles will be a primary goal of this project, including recommendations for traffic calming, bike lanes, sidewalk improvements and other similar treatments, including shared lane markings. The plan will also focus on various visual/aesthetic treatments to be considered within the street and sidewalk areas of Broadway, including improved landscaping, trees, lighting, signage, etc. The project will build upon the completed work including the I-587 at Albany Avenue/Broadway Intersection Study (2011), various rail/trail feasibility studies, and take into account the pending NYSDOT I-587 @ Albany Avenue and Broadway Intersection Reconstruction project slated for 2016 (UCTC TIP Project PIN # 881126).

Task Cost: \$64,000 (PL funds matched)

Deliverables: Report providing design sketches and detailed cost estimates for recommended actions to improve street and sidewalk area of the City of Kingston Broadway Corridor, I-587 to City Hall.

Schedule: April 2013 – March 2014

44.23.02-06: Rosendale Circulation Study – Part Consultant Study

The primary purpose of this study is to improve connectivity between key recreational attractions and the Town of Rosendale’s Main Street District. A connectivity plan will be conducted in the Town to provide a detailed examination of options for improving circulation, access and pedestrian safety along public rights of way in the Town. This project is predicated on the opening and relocation of several new recreational facilities in the Town in 2013 and the resulting need for creating deliberate and safe measures for pedestrians, bicyclists and visitors to park, locate, and safely navigate between these popular sites. The plan will provide engineering-level recommendations identifying gaps in critical facilities such as sidewalks and crosswalks as well as low-cost, near-term options for new streetscape enhancements, such as road re-striping and shared lane markings for bicyclists. A conceptual wayfinding and signage program will also be developed to direct visitors to parking areas, recreation sites and businesses within the Town. Improving local connections and access to the Wallkill Valley Rail Trail will also help to fulfill the vision of the trail network across the region as a whole.

Task Cost: \$58,000 (PL funds matched)

Deliverables: Report providing design sketches and detailed cost estimates for recommended actions to improve circulation and pedestrian safety

Schedule: April 2013 – March 2014



44.23.02-07: Ulster and Delaware Rail Corridor Plan – Part Consultant Study

Ulster County has proposed converting large segments of the Ulster & Delaware Rail corridor into a public, multi-use trail that would connect the City of Kingston to the Catskill Park and Ashokan Reservoir. The County owns 38.6 miles of underutilized rail corridor, which extends to the Delaware County border, and the County Executive has called for the creation of the Catskill Mountain Rail Trail along a large part of this corridor. The proposed Catskill Mountain Rail Trail would eventually link to the Hurley (O&W) and Wallkill Valley Rail Trails in or near the City of Kingston and could eventually connect to the Walkway over the Hudson and trails in Dutchess County.

The objective of the corridor planning study is to build upon a 2006 Trail Feasibility Study and provide a more detailed analysis of the rail corridor for potential trail development. The study would provide more detailed cost projects for various segments of the trail and give needed analysis regarding road/street crossing issues, engineering issues for trestles and other structures, drainage needs, etc. The objectives of the trail proposal include promotion of tourism and economic development, improvement of quality of life for Kingston and other communities along the trail, encouragement of outdoor recreation and healthier lifestyles, and development of safe, non-motorized transportation alternatives.

Task Cost: \$53,000 (PL funds matched)
Deliverables: Report providing design sketches and detailed cost estimates for various segments of the proposed trail corridor and recommended actions
Schedule: April 2013 – March 2014

44.23.02-08: GIS for Transportation System Emergency Preparedness – Part Consultant Study

This project seeks to build upon Ulster County's existing Geographic Information System software and data by developing an improved GIS technical response system that can support county Emergency Management Operators during a hazard or disaster event. A primary goal will be to improve transportation system situational awareness as an emergency unfolds. The project may facilitate or result in additional outcomes, including: improving the county's ability to rapidly prepare maps and status reports for community leaders and decision makers; maintaining incident status and damage assessment; improve public warnings, notifications, and general information; and acquiring and tracking resource status from multiple locations.

Task Cost: \$16,000 (PL funds matched)
Deliverables: GIS for Transportation System Emergency Preparedness software and data improvements
Schedule: April 2013 – March 2014



44.24.00: SHORT RANGE PLANNING

Short Range Planning includes activities specifically emphasizing short range transportation system or project planning and analysis proposed in the next three to five years, i.e., management analyses of internal operations such as management/administration, maintenance, personnel, and labor relations; service planning including appropriate data base development and maintenance; financial management planning, including alternative farebox policies; and all short range Transportation System Management (TSM) activities including vanpool/ridesharing, high occupancy vehicles, parking management, etc.

44.24.01: Conduct a Cost Benefit Analysis of County Bridge Inspection Program and Related Priorities for Capital Expenditures – Part Consultant Study

The Ulster County Department of Public Works (UCDPW) currently operates an in-house bridge repair and reconstruction program. This program is designed to address the absence of Federal funding available to meet bridge repair and replacement needs and to provide timely responses for repairs in an effort to preserve the life of bridges. UCDPW believes that this is a model program to address funding shortfalls and shared services between the county and municipalities. UCDPW has requested UCTC to conduct a cost/benefit analysis to demonstrate that a county bridge crew is an effective use of manpower as opposed to third-party contracting for repair and maintenance. In addition, the analysis would also explore the feasibility of shared services of this crew with municipalities in the UCTC planning area. The following would be included in the analysis: the costs of each bridge crew function, whether that cost is below the cost of private contracting, and if the bridge crew, as a whole, is a cost-saving service. A mechanism of pricing would be established for each specific construction function or task to allow combined private and public sector participation and as a way to promote the service to participating municipalities. In addition, a methodology would be established to prioritize bridge repairs to ensure continued service of critical bridges and reduce overall repair costs. UCTC staff working with Ulster County Department of Public Works and a Consultant would conduct the study.

Task Cost: \$52,000 (PL funds matched)

Deliverables: Draft and Final Reports illustrating the cost effectiveness of the County Bridge Crew by construction task and Draft Final decision matrix on establishment of priority bridges with analysis of the existing bridge inventory

Schedule: May 2013 – October 2014

44.24.02: Encourage Sustainable Development Policies and Conduct Transportation Impact Reviews

Working with county and local planning boards, provide evaluations on the impact of proposed



development projects on the transportation system. Coordinate meetings on proposed projects in a manner that allows all agencies to participate as early as possible on project design as it relates to the transportation system and sustainability factors. This “gateway agency” concept will provide early feedback to project sponsors and local governments. The work will also be utilized in presentations before local boards and in project reviews and referral responses by the County Planning Board.

Task Cost: \$28,000 (PL funds matched)

Deliverables: Meetings with project sponsors and agencies, issuance of referral memoranda

Schedule: April 2013 – March 2014



44.25.00: TRANSPORTATION IMPROVEMENT PROGRAM

Every two years, UCTC initiates a *Transportation Improvement Program (TIP)* update process to evaluate project priorities against the goals, objectives and recommendations of the Year 2030 LRTP. The TIP is a five-year fiscally constrained financial plan for implementing prioritized transportation improvements in Ulster County.

44.25.01: Prepare the FFY 2014-2018 Transportation Improvement Program (TIP)

In the spring of 2013, UCTC will update and amend its 2011-2015 TIP program based on new planning targets, establishing the new 5-year 2014-2018 TIP program. Project progress will be monitored and modifications and amendments will be processed as necessary throughout the year. The annual listing of Federal obligations will be completed when they become available in November 2013. UCTC staff will organize and facilitate any needed TIP Subcommittee meetings, facilitate public involvement efforts, and develop and distribute draft and final TIP documents. TMA programming activities and TIP amendment activities are also included within this task.

Task Cost: \$30,000 (PL funds matched)
Deliverables: Draft and final TIP amendment documents, Obligation Reports, and meetings with project sponsors to advance TIP projects
Schedule: April 2013 – March 2014

44.25.02: Support the Transportation Alternatives Program

Under MAP-21, the Transportation Alternatives Program (TAP) (formerly the Transportation Enhancements Program under SAFTEA-LU) funds non-traditional transportation related projects that include bicycle/pedestrian facilities, historic preservation of transportation related infrastructure, landscaping, environmental mitigation, and Safe Routes to School (SRTS) projects for infrastructure such as sidewalks and signalization as well as educational programs.

For each of these programs, UCTC will distribute and assist in the preparation of applications, provide access to its studies and recommendations, and convene its members to prioritize TAP projects as needed.

Task Cost: \$2,300 (PL funds matched)
Deliverables: Assistance to local governments and others on TAP applications, ranking of projects.
Schedule: April 2013 – March 2014



44.26.00: PLANNING EMPHASIS AREAS (PEAS)

In past years, MPOs were encouraged by the Federal Highway Administration and the Federal Transit Administration to consider planning emphasis areas (PEAs) to promote priority themes in addition to the overall standard work program.

The SFY 2012 PEAs for MPOs to consider as priority themes include the following: 1) Coordination of Non-Emergency Human Service Transportation; 2) Participation of Transit Operators in Metropolitan & Statewide Planning; 3) Planning for Transit Systems Management/Operations to Increase Ridership; 4) Support Transit Capital Investment Decisions through Effective Systems Planning; and 5) Incorporating Safety & Security in Transportation Planning.

44.26.12: Coordination of Non-Emergency Human Service Transportation

Human Service Transportation refers to programs that provide for the basic mobility needs of certain groups. It refers, for example, to services that: transport people with disabilities; provide older citizens access to medical care; and give access to transportation for people joining the labor market. On February 4, 2004, the White House signed the Executive Order on Human Service Transportation Coordination. Federal, State and local agencies are being encouraged to work together to ensure that transportation services are seamless and accessible to all Citizens. Efforts under this PEA include updating the Plan, if necessary, and assisting Ulster County Area Transit (UCAT) and Kingston Citibus to implement the recommendations of the Coordinated Public Transit-Human Services Transportation Plan.

Task Cost: \$1,000 (PL funds matched)
Deliverables: Semiannual progress reports will document activity
Schedule: April 2013 – March 2014

44.26.13: Participation of Transit Operators in Metropolitan & Statewide Planning

Efforts under this PEA include the close coordination of transit operators and transit operations in Ulster County. The UCTC has also established a Transit Advisory Committee to help coordinate public transit operations with public and private transit operators as well as provide transit operators a forum to discuss their concerns and needs.

Task Cost: \$500 (PL funds matched)
Deliverables: Semiannual progress reports will document activity; New Paltz project website and associated draft materials
Schedule: April 2013 – March 2014



44.26.14: Planning for Transit Systems Management/Operations to Increase Ridership

Efforts under this PEA include transit planning support for the Ulster County and City of Kingston bus systems (Ulster County Area Transit and Kingston Citibus) as well as maintaining and fostering critical links to other regional transit providers.

Task Cost: \$5,000 (FTA and PL funds matched)

Deliverables: Transit system coordination

Schedule: April 2013 – March 2014

44.26.15: Support Transit Capital Investment Decisions through Effective Systems Planning

Efforts under this PEA include coordinating with transit operators to identify capital projects necessary to improve transit service in Ulster County.

The Village of New Paltz together with SUNY New Paltz has a high proportion of transit users and acts as a hub that serves the transit needs of neighboring communities. Current transit operations include substantial intercity bus service as well as inter-county and local shuttle service. The current transit facility is a rented private location situated on Route 299. This facility currently experiences congestion and its future availability is in doubt. The community has requested that UCTC examine alternatives available to ensure that transit facilities can continue to meet the needs of the area. Preliminary meetings have been held with transit providers, elected officials, NYSDOT representatives and SUNY New Paltz. UCTC will undertake an intermodal facility study for the community. The study will examine the existing location and alternatives for transit facilities including ability to serve the student population, SUNY New Paltz, and the general public. The study will examine overall ridership, alternative site locations and safety and pedestrian issues associated with the transit facility. The Transit Committee of UCTC will guide the study with consideration provided for representatives of the community and SUNY New Paltz officials. NYSDOT Region 8 Staff will also play key roles.

Task Cost: \$107,000 (MPP funds matched)

Deliverables: Draft and final Plans of an Intermodal Facility Analysis for the New Paltz Area

Schedule: April 2013 – March 2014

44.26.16: Incorporate Safety and Security in Transportation Planning

Efforts under this PEA include participation in the New York State Association of Metropolitan Planning Organizations Safety Working Group activities. In addition, UCTC will conduct Safety Assessments to identify low cost improvements at high crash locations as identified under 44.23.02-05. UCTC will also seek to re-engage the Ulster County Traffic Safety Board and



encourage cooperation and the sharing of data and resources.

Task Cost: \$7,500 (FTA and PL funds matched)

Deliverables: Attendance at UCTSB meetings, PILs study initiation, NYSAMPO Safety Working Group participation

Schedule: April 2013 – March 2014



44.27.00: OTHER ACTIVITIES

Other Activities includes only those activities unrelated to the specific types of activities described above

44.27.01: Support for Shared Cost Initiatives and Other Activities of the New York State MPO Association

UCTC will continue to support the payment of Association of Metropolitan Planning Organization (AMPO) annual dues and support Statewide Shared Cost Initiatives. Additionally, staff will continue to monitor statewide shared cost initiatives (SCI) funded jointly by the New York State MPO Association and NYSDOT. Examples of SCIs in past years include a review of Congestion Management Process (CMP) best practices, the facilitation of an annual transportation planning conference, and NYSMPO Association sponsored professional development and training opportunities. The NYSMPO Association and NYSDOT have agreed to set aside planning funds for agreed upon SCIs. Funds set aside for SCIs and AMPO dues are deducted before MPO funding allocations are awarded and thereby deducted from each MPO's allocation.

Task Cost: \$2,500 (PL funds unmatched)

Deliverables: Semiannual progress reports documenting activity will be prepared and circulated

Schedule: April 2013 – March 2014



APPENDIX

**Table 1
Available Funds
2013-14**

FHWA Funds	Federal	Match	Total
PL Funds Allocation	\$390,805	\$97,701	\$488,506
Previous PL Savings (200X-2013)	\$537,880	\$134,470	\$672,350
SPR Funds Allocation	\$0	\$0	\$0
PL Carry Over (2012-2013) ²	\$35,020	\$8,755	\$43,775
Total Funds Available	\$963,705	\$240,926	\$1,204,631
Total FHWA Program Budget	\$571,560	\$142,890	\$714,450
Unprogrammed Balance	\$392,145	\$98,036	\$490,181
FTA 5303 Funds	Federal	Match	Total
FTA 5303 Allocation (2013-2014)	\$54,200	\$13,550	\$67,750
FTA 5303 Unprogrammed (12-13) ¹	\$33,105	\$8,276	\$41,381
FTA 5303 Unprogrammed (12-13) ¹	\$0	\$0	\$0
Total FTA 5303 Funds Available	\$87,305	\$21,826	\$109,131
Total FTA 5303 Program Budget	\$85,600	\$21,400	\$107,000
Unprogrammed Balance	\$1,705	\$426	\$2,131
TOTAL	\$657,160	\$164,290	\$821,450

¹ Previous Savings are funds unused and not obligated to any contract or project in the previous fiscal year(s).

² Carry Over funds are obligated funds unused in the previous fiscal year(s), such as an unfinished contract for professional services.

APPENDIX

Table 2
FTA 5303 Grant Status
2013-14

FTA Grant Status

FTA Grant Number	Allocation	Programmed	Expensed	Carry Over
NY-80-X016 (SFY 2007)	\$49,422	\$49,422	\$49,422	\$0
NY-80-X017 (SFY 2008)	\$51,926	\$51,926	\$51,926	\$0
NY-80-X018 (SFY 2009)	\$54,392	\$54,392	\$54,392	\$0
NY-80-X019 (SFY 2010)	\$54,471	\$54,471	\$54,471	\$0
NY-80-X020 (SFY 2011)	\$54,397	\$54,397	\$54,397	\$0
NY-80-X021 (SFY 2012)	\$54,397	\$54,397	\$21,382	\$33,015
ny-80-XO21 (SFY 2013)	\$54,200	\$54,200	\$0	\$54,200
Total Available to UCTC				\$87,215

Note: 100% Federal FTA Section 5303 funds

Table 3
Funds Distribution
2013-14

Federal Dollars	PL	FTA	TOTAL
Ulster County	\$571,560	\$85,600	\$657,160
Total Federal Program	\$571,560	\$85,600	\$657,160
Match Dollars	PL	FTA	TOTAL
Total Ulster County Match	\$35,723	\$5,350	\$41,073
Total NYSDOT Match	\$107,168	\$16,050	\$123,218
Total Matching Funds	\$142,890	\$21,400	\$164,290
TOTAL FEDERAL + MATCH	\$714,450	\$107,000	\$821,450

APPENDIX

**Table 4
Object Budgets
2013-14**

FHWA Object Budget

Expenses	FHWA	Ulster County	NYSDOT	Total
Salaries	\$139,150	\$8,697	\$59,336	\$207,183
Fringe Benefits	\$83,490	\$5,218	\$47,831	\$136,539
Travel	\$3,000	\$188	\$0	\$3,188
Equipment & Supplies	\$6,000	\$375	\$0	\$6,375
Existing Contract Obligations	\$42,320	\$2,645	\$0	\$44,965
New Contracts	\$297,600	\$18,600	\$0	\$316,200
Indirect Costs*	\$0	\$0	\$0	\$0
TOTAL	\$571,560	\$35,723	\$107,168	\$714,450

*Indirect costs are calculated as 17.2 percent of administration hours worked.

FTA Object Budget

Expenses	FTA	Ulster County	NYSDOT	Total
Salaries	\$3,909	\$244	\$8,887	\$13,040
Fringe Benefits	\$1,691	\$106	\$7,163	\$8,960
Travel	\$0	\$0	\$0	\$0
Equipment & Supplies	\$0	\$0	\$0	\$0
Carry Over (Contract Obligation)	\$0	\$0	\$0	\$0
New Contracts	\$80,000	\$5,000	\$0	\$85,000
Indirect Costs*	\$0	\$0	\$0	\$0
TOTAL	\$85,600	\$5,350	\$16,050	\$107,000

*Indirect costs are calculated as 17.2 percent of administration hours worked.

Table 5 Task Budgets for SFY 2011

FHWA PL Funds									
Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC PL Funds	UCTC PL + Match	NYSDOT Match	TOTAL
44.21.00 - Program Support & Administration	\$97,760	\$0	\$0	\$3,000	\$6,000	\$106,760	\$113,433	\$20,018	\$133,450
44.22.00 - Development & Comp Planning	\$8,000	\$0	\$19,200	\$0	\$0	\$27,200	\$28,900	\$5,100	\$34,000
44.23.00 - Long Range Planning Sys. Level	\$17,440	\$35,020	\$0	\$0	\$0	\$52,460	\$55,739	\$9,836	\$65,575
44.23.00 - Long Range Planning Proj. Level	\$36,400	\$7,300	\$238,400	\$0	\$0	\$282,100	\$299,731	\$52,894	\$352,625
44.24.00 - Short Range Planning	\$24,000	\$0	\$40,000	\$0	\$0	\$64,000	\$68,000	\$12,000	\$80,000
44.25.00 - TIP/Capital Programming	\$25,840	\$0	\$0	\$0	\$0	\$25,840	\$27,455	\$4,845	\$32,300
44.26.00 - Planning Emphasis Areas	\$11,200	\$0	\$0	\$0	\$0	\$11,200	\$11,900	\$2,100	\$14,000
44.27.00 - Other	\$2,000	\$0	\$0	\$0	\$0	\$2,000	\$2,125	\$375	\$2,500
Total PL Program	\$222,640	\$42,320	\$297,600	\$3,000	\$6,000	\$571,560	\$607,283	\$107,168	\$714,450

* UCTC includes required 5% match

FTA 5303 Funds									
Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC FTA Funds	UCTC FTA + Match	NYSDOT Match	TOTAL
44.21.00 - Program Support & Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44.22.00 - Development & Comp Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44.23.00 - Long Range Planning Proj. Level	\$5,600	\$0	\$80,000	\$0	\$0	\$85,600	\$90,950	\$16,050	\$107,000
44.24.00 - Short Range Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44.25.00 - TIP/Capital Programming	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44.26.00 - Planning Emphasis Areas	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total FTA Program	\$5,600	\$0	\$80,000	\$0	\$0	\$85,600	\$90,950	\$16,050	\$107,000
Program Summary	\$228,240	\$42,320	\$377,600	\$3,000	\$6,000	\$657,160	\$698,233	\$123,218	\$821,450

Summary of Program Tasks	Admin. 44.21.00	Data Coll. 44.22.00	LR Plan. 44.23.00	SR Plan. 44.24.00	TIP 44.25.00	PEAs 44.26.00	Other 44.27.00	TOTAL
FHWA PL Funds	\$133,450	\$34,000	\$418,200	\$80,000	\$32,300	\$14,000	\$2,500	\$714,450
FTA 5303 Funds	\$0	\$0	\$107,000	\$0	\$0	\$0	\$0	\$107,000
TOTAL PROGRAM	\$133,450	\$34,000	\$525,200	\$80,000	\$32,300	\$14,000	\$2,500	\$821,450